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TITLE: System and method  
for detecting a human face in  
uncontrolled  
environments

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Detailed Description Text - DETX (5):

The video signal 115 is input to the frame grabber 120. In one embodiment, the frame grabber 120 may comprise a Meteor Color Frame Grabber, available from Matrox. The frame grabber 120 operates to convert the analog video signal 115 into a digital image stored within the memory 135, which can be processed by the video processor 140. For example, in one implementation, the frame grabber 120 may convert the video signal 115 into a 640.times.480 (NTSC) or 768.times.576 (PAL) color image. The color image may consist of three color planes, commonly referred to as YUV or YIQ.

Each pixel in a color plane may have 8 bits of resolution, which is sufficient for most purposes. Of course, a variety of other digital image formats and resolutions may be used as well, as will be recognized by one of ordinary skill.

#### Detailed Description Text - DETX (17):

To extract moving objects within the video image stored in memory 135, the background may be modeled as a texture with the intensity of each point modeled by a Gaussian distribution with mean  $\mu$  and variance  $\sigma^2$ ,  $N(\mu, \sigma^2)$  (step 216). The pixels in the image are classified as foreground if  $p(O(x,y) | \mu, \sigma^2) > T$  and as background if  $p(O(x,y) | \mu, \sigma^2) \leq T$ . The observation  $O(x,y)$  represents the intensity of the pixels at location  $(x,y)$ , and  $T$  is a constant (step 212).

#### Detailed Description Text - DETX (18):

The connectivity analysis (step 213) of the "foreground" pixels generates connected sets of pixels, i.e. sets of pixels that are adjacent or touching. Each of the above sets of pixels describe a foreground region. Small foreground regions are assumed to be due to

shadow, camera noise and lighting variations and are removed.

Detailed Description Text - DETX (22):

where the observations  $O(x,y)$  are the pixel intensities at coordinates  $(x,y)$  in the foreground regions and  $P(O(x,y).vertline.\lambda.sub.i)$  is the likelihood functions for the  $i.sup.th$  model 301.

Detailed Description Text - DETX (28):

For each set of parameters  $(x.sub.0,y.sub.0,a,b)$  a rectangular template (W in FIG. 10) is defined by the set of parameters  $(x.sub.0,y.sub.0,.alpha.a,.alpha.b)$ , where  $x.sub.0$  and  $y.sub.0$  are the coordinates of the center of the rectangle and  $.alpha.a,.alpha.b$  are the width and length of the rectangle, and  $.alpha.$  is some constant (see FIG. 10). In each area bounded by  $x.sub.j-1,x.sub.j$ ,  $R.sub.out,j$  is the set of pixels outside the ellipse template and inside the rectangle template and  $R.sub.in,j$  is the set of pixels inside the ellipse template (FIG. 10). The regions  $R.sub.in,j$  and  $R.sub.out,j$  locally classify the image in "face" and "non face" regions. Based on the above discussion, the likelihood function

$P(O(x,y).vertline..lambda..sub.i)$  for the model  $.lambda..sub.i$  is determined by the ratio of the number of foreground pixels classified as "face" and background pixels classified as "non face" in each area bounded by  $x.sub.j-1, x.sub.j$ , (where  $j=1$  to  $i$ ), over the total number of pixels in "face" and "non face" regions (step 403). This is described in Equation (2) below:  
##EQU2##

Detailed Description Text - DETX (66):

Stage 202 of FIG. 2 illustrates the steps of the eye detection approach that may be used according to the present invention. In step 221, the pixel intensities inside the face regions are compared to a threshold  $.theta.$ , and pixels with intensities lower than  $.theta.$  are extracted from the face region. In step 222, and as shown in FIG. 7A, the connectivity analysis of the extracted pixels generates connected sets of pixels (e.g., pixels 701), i.e. sets of pixels that are adjacent or touching. Each of these connected sets of pixels 701 describe a low intensity region of the face.

Detailed Description Text - DETX (67):

In step 223, the pixel regions 701 resulting from steps 221-222 are filtered

with respect to the region size. Regions having a small number of pixels due to camera noise or shadows are removed. Large regions generally cannot represent eyes, but instead correspond in general to hair. The size of the regions selected at this stage is in the interval  $[\text{.theta..sub.m}, \text{.theta..sub.M}]$  where  $\text{.theta..sub.m}$  is the minimum and  $\text{.theta..sub.M}$  is the maximum number of pixels allowed by our system to describe a valid eye region. Threshold values  $\text{.theta..sub.m}, \text{.theta..sub.M}$  are determined based on the size of the ellipse that characterizes the head region (the ellipse being generated iteratively in step 215). The end result of step 223 is an image 702, such as that shown in FIG. 7B.